

Vendor Disclosure/Litigation History

Harris County will consider a vendor's litigation history information in its review and determination of responsibility. Vendors are required to disclose all cases noticed on, filed, pending, settled or otherwise resolved against vendor or any subsidiary in any jurisdiction within the last five (5) years whether such case(s) was brought by or against the vendor, any parent or subsidiary of the vendor or any predecessor organization. If vendor is a joint venture, the information provided should encompass the joint venture (if it is not newly-formed for purposes of responding to the solicitation) and each of the entities forming the joint venture.

For purpose of Attachment j – Vendor Disclosure requirement, a “case” shall include lawsuits or settlements (whether by mediation, arbitration, or court ordered) and include any governmental investigation to which the vendor or its directors, officers, or employees have been officially or unofficially been notified. A case should be included if it relates, in whole or in part, to the vendor in any manner whether civil, criminal, mediation/arbitration or bankruptcy, including but not limited to:

- a. Any allegation of negligence, misconduct, erroneous invoicing practices, errors or omissions against the vendor or any of its principals or agents;
- b. Any breach of contract;
- c. Any vendor default, termination, suspension, failure to perform or improper performance, and applicable assessment of damages (state type, amount and resolution);
- d. Any allegations or investigation brought by another governmental entity [federal, state, or local] in which the vendor or its principles, officers, or employees are named;
- e. Any bankruptcy petition (voluntary or involuntary) or receivership or
- f. Any criminal proceeding or hearing in which the vendor or its principals (including officers or employees) were/are defendants.

Although the review of a vendor's litigation history is an issue of responsibility, the failure to provide litigation history as required in the Evaluation Criteria may result in a disqualification of your response to this solicitation. For each case, vendor is required to provide all information herein identified, on Attachment j – Vendor Disclosure.

If you have any questions concerning a disclosure, do not hesitate to contact the Office of the Harris County Purchasing Agent.

Vendor Disclosure

Job No. _____ INVESTIGATION/CASE SYNOPSIS	☐ Vendor: _____ ☐ Vendor's Parent Company: _____ ☐ Vendor's Subsidiary Company: _____ ☐ Vendor's Predecessor Organization: _____
Party (note in claim or counter)	☐ Plaintiff ☐ Defendant
Case Name	
Case Number	
Date Filed	
Name of Court, Tribunal or Investigative Agency	
Type of Case	☐ Civil ☐ Criminal ☐ Investigation ☐ Mediation/Arbitration ☐ Bankruptcy
Claim or Cause of Action and Brief description of each Count	
Brief description of the Subject Matter and Project Involved	
Disposition of Case	☐ Pending ☐ Settled ☐ Dismissed
Judgement	☐ Vendor's Favor ☐ Against Vendor
If Judgement Against, is Judgment Satisfied?	☐ Yes ☐ No
Damages Assessed?	☐ Yes ☐ No
Damages Type, Amount and Brief description of cause and resolution	
Brief summary to ensure how same issues do not occur with resulting contract	